Docket Number: 36194-42366 PATENT

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Examiner: Zecher, Michael R. Group Art Unit: 3691

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In re application of:

Tully et al.

Serial No.: 10/692,067

Filed: October 22, 2003

For: System and Method for the Automated Brokerage of Financial Instruments

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Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

Amendment and Response A:

In response to the Office Action dated May 6, 2008, Applicant submits the following amendments and remarks.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 13 of this paper.